

FORM PTO-1390
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING
A FILING UNDER 35 U.S.C. 371**

178/48916

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

(Not Yet Assigned)

09/555140

INTERNATIONAL APPLICATION NO.
PCT/EP98/07487INTERNATIONAL FILING DATE
20 November 1998PRIORITY DATE CLAIMED
25 November 1997TITLE OF INVENTION
FILTER ELEMENT

APPLICANT(S) FOR DO/EO/US

Gunnar-Marcel KLEIN, Nikolaus MOSER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)
- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☒ has been transmitted by the International Bureau
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unexecuted)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Item 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Form PCT/IB/308

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) {Not Yet Assigned} 09/555140		INTERNATIONAL APPLICATION NO. PCT/EP98/07487	ATTORNEY'S DOCKET NUMBER 178/48916	
17. [X] The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) ... \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2) \$760.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO \$980.00 \$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$92.00 \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =			CALCULATIONS	PTO USE ONLY
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$ 130.00	
Claims	Number Field	Number Extra	Rate	
Total Claims	12-20=		X \$18.00	\$
Independent Claims	2-3=		X \$78.00	\$
Multiple dependent claims(s) (if applicable)			+ \$260.00	\$
TOTAL OF ABOVE CALCULATIONS =			\$	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).			\$	
SUBTOTAL =			\$	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$ 130.00	
TOTAL NATIONAL FEE =			\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28,3.31). \$40.00 per property +			\$	
TOTAL FEE ENCLOSED =			\$ 1,100.00	
			Amount to be: refunded	\$
			charged	\$
a. [X] A check in the amount of \$ <u>1,100.00</u> to cover the above fees is enclosed. b. [] Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>05-1323</u> . A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Evenson, McKeown, Edwards & Lenahan, P.L.L.C. 1200 G Street, N.W., Suite 700 Washington, D.C. 20005 Tel. No. (202) 628-8800 Fax No. (202) 628-8844				
			SIGNATURE J. D. Evans	
			NAME 26,269	
			REGISTRATION NUMBER 25 May 2000	
			DATE	

Attorney Docket: 178/48916
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GUNNAR-MARCEL KLEIN ET AL.

Serial No.: 09/555,140

Filed: MAY 25, 2000

Title: FILTER ELEMENT

PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. 1.136(a)

Commissioner for Patents
Washington, DC 20231

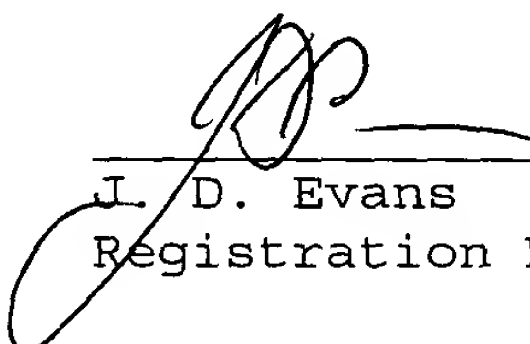
Sir:

Applicant hereby requests that the period to take action in the above-captioned application be extended by one month pursuant to the provisions of 37 C.F.R. 1.136(a).

A check in the amount of \$110.00 is submitted herewith in payment of the required extension fee. This amount is believed to be correct, however, the Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, to Deposit Account No. 05-1323 (Attorney Docket No. 178/48916). A duplicate copy of this letter is attached.

Respectfully submitted,

August 3, 2000



J. D. Evans
Registration No. 26,269

EVENSON, McKEOWN, EDWARDS
& LENAHA, P.L.L.C.
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Attorney Docket: 178/48916
PATENT

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Applicant: GUNNAR-MARCEL KLEIN ET AL.

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ADDITIONAL CLAIMS FEE CHART

Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith is a Preliminary Amendment for filing and the filing fee is calculated below:

FEE VALUE ADJUSTMENT	
DEPOSIT ACCOUNT NO.	
05	1323
FEE	VALUE
CODE	PERCENT
198	36
96	36

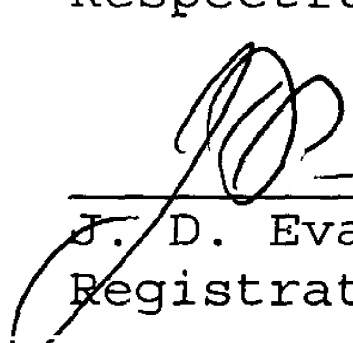
For	No. After Amendment	Highest No. Prev. Filed	No. Extra	Rate	Fee
Total Claims	22 -	20 =	2 x	\$ 9/\$18 =	\$ 36.00
Indep. Claims	2 -	3 =	x	\$39/\$78 =	\$
TOTAL:					\$ 36.00

X A check in the amount of \$ 36.00 is enclosed.

X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 05-1323 (Docket #178/48916). A duplicate copy of this sheet is attached.

Respectfully submitted,

August 3, 2000


J. D. Evans
Registration No. 26,269

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